



Case study —

Capital A

Interview on using the RegLab application.

A fully electronic AML process for Capital A

“With RegLab, we have better oversight, faster communication, and can fully focus on properly assessing parties.”

Capital A is an investment and private equity firm that actively manages funds and continuously attracts new investors. A rigorous KYC and AML process plays a crucial role in every fund launch. Prior to partnering with RegLab, however, this process was partly carried out manually. Information was collected via email, stored in individual files and assessed on a case-by-case basis. This approach was not only time-consuming but also proved increasingly difficult to scale.

Process setup

Until 2024, the AML process within Capital A was organised using Excel and local drives. During fund round reviews, information requests were sent via email, and received documentation was centrally stored for further assessment, where necessary in coordination with external advisors. Initial communication used standard templates, while follow-up questions were often tailored per investor. This approach aligned well with the way of working at the time, but in practice required considerable manual coordination.

The move towards specialisation and efficiency

The decision to work with RegLab stemmed from a combination of factors. During the onboarding process of a new fund, it was advised to outsource the KYC component to a specialised party. This would not only improve efficiency but also offer cost advantages compared to a fully legal approach. After an introduction and evaluation of the possibilities, it was decided to collaborate with RegLab. Decisive factors included their expertise, expected time savings, and cost efficiency.

“By outsourcing the KYC component to a specialised party, we can work more efficiently and maintain greater focus on our own role.”



Smooth onboarding and effective collaboration

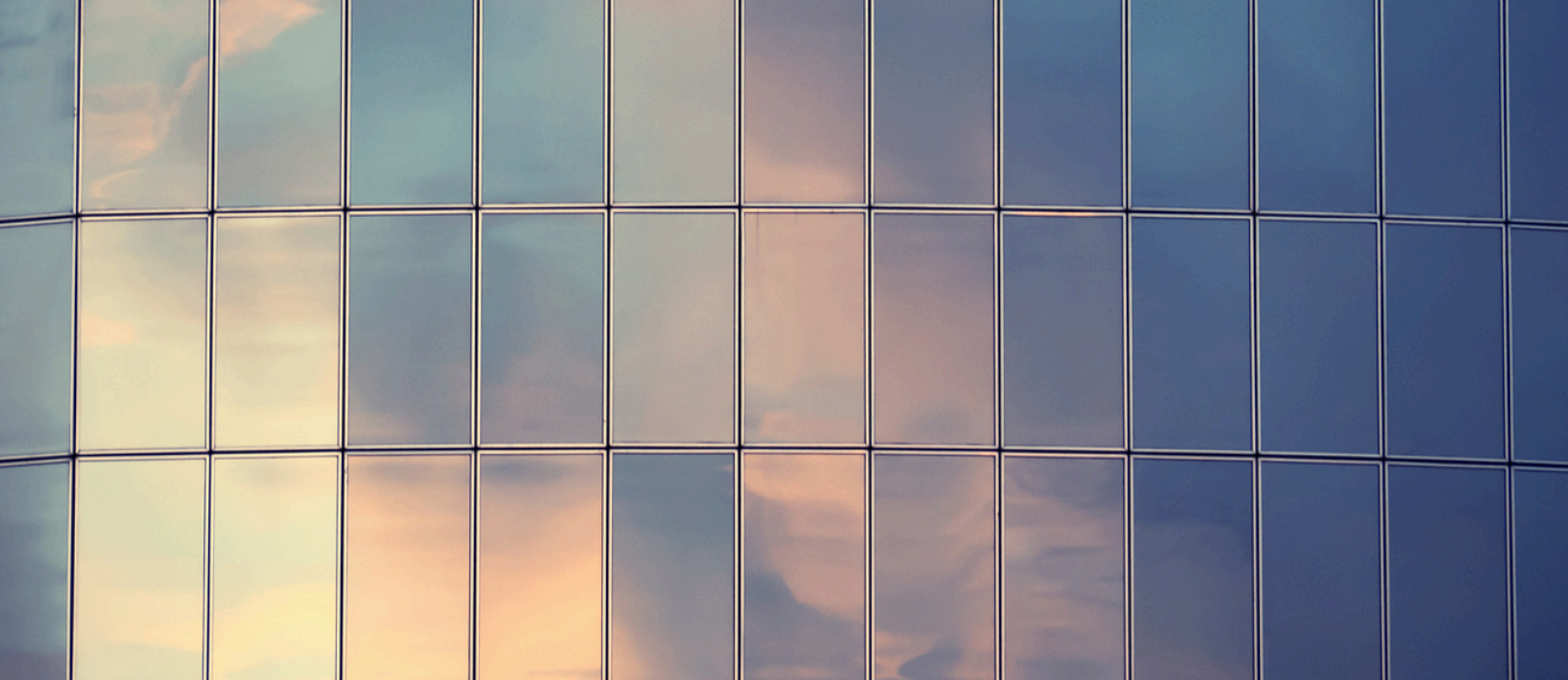
Even with several parties involved, including Capital A, external advisers and RegLab, the collaboration remained remarkably smooth. A clear division of responsibilities and effective coordination prevented any overlap in communication with investors. The support provided by RegLab proved to be even better than expected. In particular, the speed of communication, clear instructions and prompt follow-up on investor feedback contributed to an efficient process.

“We now have one central place where everything comes together, making communication much clearer and more efficient.”

Greater oversight, improved communication

One of the main advantages of the RegLab application is its clarity. Where previously separate files and emails were used, the application provides a single central environment in which all information is consolidated. Documents are easily accessible and include comments, eliminating the need for communication via separate emails or phone calls. This ensures that all parties involved always have insight into the considerations made. In addition, the cloud-based environment enables faster working and easy access to information, without reliance on local storage.





Support while retaining control

In addition to the application, Capital A also makes use of compliance support. This includes active input on the onboarding and screening of parties, without relinquishing responsibility. This collaboration is considered highly valuable: there is continuous alignment on assessments, resulting in better-substantiated decisions. At the same time, Capital A retains final responsibility for the ultimate decisions.

Recommended approach

Based on positive experiences, Capital A has chosen to also use RegLab's compliance services for its newest fund. The balance between costs and time savings once again favoured outsourcing. The solution is therefore strongly recommended to other organisations, particularly due to its combination of ease of use, speed, specialist support, and clear communication.

“We receive quick responses and can always rely on clear support.”

Efficiency, speed, and trust

The combination of technology and support has led to a clear improvement in the process. Communication with investors is structured and professional, and internal processes are organised more efficiently. For Capital A, this not only means time savings but also increased confidence in the execution of the AML process.

About Capital A

Capital A is an investment firm that invests in companies with strong growth potential in the Netherlands and Belgium. The firm typically takes large minority or small majority stakes and works closely with management teams to support companies in their next phase of growth.

About RegLab

RegLab makes money laundering prevention simple and efficient for lawyers, tax advisers, accountants, administration firms, notaries and investment institutions. Over 250 firms rely on RegLab for their AML compliance.

Our [user-friendly application](#) automates the entire screening and monitoring process from a single platform. This ensures a simple, efficient and client-friendly onboarding process.

In addition, RegLab's [compliance as a service](#) ensures a sound approach and implementation. With our support, you will have a clear and up-to-date policy, experienced compliance specialists who know your firm, and an extra pair of eyes to review matters.



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